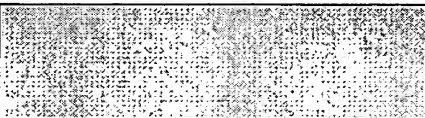
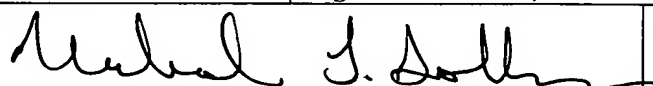


| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL<br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>  |  | Attorney Docket No.  | 57953/1211 (2003-11-WIS02-02)                               |                          |
|---|--|--|---|--------------------------|
|   |  | First Inventor   | Wisniewski et al.   |                          |
|   |  | Title  | PREVENTION AND TREATMENT OF<br>ALZHEIMER AMYLOID DEPOSITION |                          |
|   |  | Express Mail Label No.   | EV415645119US   |                          |
| APPLICATION ELEMENTS<br><i>See MPEP chapter 600 concerning utility patent application contents.</i>   |  | ADDRESS TO: Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, Virginia 22313-1450                           |   |                          |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>   |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |   |                          |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>   |   |                          |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 44]<br><i>(preferred arrangement set forth below)</i>   |  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |   |                          |
| - Descriptive title of the invention  |  | b. Specification Sequence Listing on:  |   |                          |
| - Cross Reference to Related Applications <i>(if applicable)</i>  |  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or   |   |                          |
| - Statement Regarding Fed sponsored R & D <i>(if applicable)</i>  |  | ii. <input type="checkbox"/> paper   |   |                          |
| - Reference to sequence listing, a table,<br>or a computer program listing appendix <i>(if applicable)</i>  |  | c. <input type="checkbox"/> Statements verifying identity of above copies  |   |                          |
| - Background of the Invention   |  | ACCOMPANYING APPLICATION PARTS   |   |                          |
| - Brief Summary of the Invention  |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |   |                          |
| - Brief Description of the Drawings <i>(if filed)</i>   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br><i>(when there is an assignee)</i> Attorney             |   |                          |
| - Detailed Description  |  | 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>   |   |                          |
| - Claim(s)  |  | 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations                   |   |                          |
| - Abstract of the Disclosure  |  | 13. <input type="checkbox"/> Preliminary Amendment   |   |                          |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7]  |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>                             |   |                          |
| 5. Oath or Declaration [Total Pages <input type="checkbox"/> ]  |  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>                                     |   |                          |
| a. <input type="checkbox"/> Newly executed (original or copy)   |  | 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C.<br>122(b)(2)(B)(i). Applicant must attach form<br>PTO/SB/35 or its equivalent. |   |                          |
| b. <input checked="" type="checkbox"/> Unsigned   |  | 17. <input type="checkbox"/> Other: _____  |   |                          |
| c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i>  |  |  |   |                          |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)  |  |  |   |                          |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  |  |   |                          |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,<br>or in an Application Data Sheet under 37 CFR 1.76:  |  |  |   |                          |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____/_____   |  |  |   |                          |
| Prior application information: Examiner: _____ Group / Art Unit: _____  |  |  |   |                          |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under<br>Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The<br>incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |  |   |                          |
| 19. CORRESPONDENCE ADDRESS  |  |  |   |                          |
| <input type="checkbox"/> Customer Number or Bar Code Label  or <input checked="" type="checkbox"/> Correspondence address below  |  |  |   |                          |
| Name  |  | Michael L. Goldman<br>Nixon Peabody LLP  |   |                          |
| Address   |  | Clinton Square, P.O. Box 31051   |   |                          |
| City  |  | Rochester  | State   | NY                       |
| Country   |  | USA  | Telephone   | (585) 263-1304           |
|   |  |  | Fax   | (585) 263-1600           |
| Name (Print/Type)   |  | Michael L. Goldman   |   | Registration No.: 30,727 |
| Signature   |  |    |   | Date<br>March 24, 2004   |

# FEE TRANSMITTAL FOR FY 2004

*Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 439)

*Complete if Known*

|                      |                               |
|----------------------|-------------------------------|
| Application Number   | To be Assigned                |
| Filing Date          | Herewith                      |
| First Named Inventor | Wisniewski et al.             |
| Examiner Name        | To be Assigned                |
| Art Unit             | To be Assigned                |
| Attorney Docket No.  | 57953/1211 (2003-11-W1S02-02) |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 14-1138

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 770                   | 2001                  | 385                   | Utility filing fee     | 385      |
| 1002                  | 340                   | 2002                  | 170                   | Design filing fee      |          |
| 1003                  | 530                   | 2003                  | 265                   | Plant filing fee       |          |
| 1004                  | 770                   | 2004                  | 385                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)** (\$ 385)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 26                 | -20** = 6    | X 9            | = 54     |
| Independent Claims | 3            | -3** = 0       | X = 0    |
| Multiple Dependent |              | X              | = 0      |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 86                    | 2201                  | 43                    | Independent claims in excess of 3                          |
| 1203                  | 290                   | 2203                  | 145                   | Multiple dependent claim, if not paid                      |
| 1204                  | 86                    | 2204                  | 43                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$ 54)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1051                  | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                  | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                  | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                  | 2,520                 | 1812                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |
| 1804                  | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |
| 1805                  | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                  | 110                   | 2251                  | 55                    | Extension for reply within first month                                     |
| 1252                  | 420                   | 2252                  | 210                   | Extension for reply within second month                                    |
| 1253                  | 950                   | 2253                  | 475                   | Extension for reply within third month                                     |
| 1254                  | 1,480                 | 2254                  | 740                   | Extension for reply within fourth month                                    |
| 1255                  | 2,010                 | 2255                  | 1,005                 | Extension for reply within fifth month                                     |
| 1401                  | 330                   | 2401                  | 165                   | Notice of Appeal   |
| 1402                  | 330                   | 2402                  | 165                   | Filing a brief in support of an appeal                                     |
| 1403                  | 290                   | 2403                  | 145                   | Request for oral hearing   |
| 1451                  | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                  | 110                   | 2452                  | 55                    | Petition to revive - unavoidable   |
| 1453                  | 1,330                 | 2453                  | 665                   | Petition to revive - unintentional   |
| 1501                  | 1,330                 | 2501                  | 665                   | Utility issue fee (or reissue)   |
| 1502                  | 480                   | 2502                  | 240                   | Design issue fee   |
| 1503                  | 640                   | 2503                  | 320                   | Plant issue fee  |
| 1460                  | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                  | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                  | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                  | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                  | 770                   | 2809                  | 385                   | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810                  | 770                   | 2810                  | 385                   | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                  | 770                   | 2801                  | 385                   | Request for Continued Examination (RCE)                                    |
| 1802                  | 900                   | 1802                  | 900                   | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 0)

### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_.

Date \_\_\_\_\_

Signature \_\_\_\_\_

Typed or printed name \_\_\_\_\_

## SUBMITTED BY

Name (Print/Type) Michael L. Goldman  
Signature *Michael L. Goldman*

Registration No. 30,727  
(Attorney/Agent) *S. D. D.*

*Complete (if applicable)*

Telephone (585) 263-1304

Date March 26, 2004

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **57953/1211 (2003-11-W1S02-02)**  
APPLICANTS: **Thomas Wisniewski, Marcin Sadowski, and Einar M. Sigurdsson**  
TITLE: **PREVENTION AND TREATMENT OF ALZHEIMER AMYLOID  
DEPOSITION**

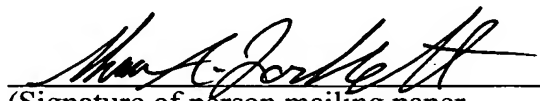
Certificate is attached to the **Utility Patent Application Transmittal Letter (1 page) (in duplicate) and Fee Transmittal (1 page) (in duplicate)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EV415645119US**

DATE OF DEPOSIT: **March 26, 2004**

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Shawn A. Lockett  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
or fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **57953/1211 (2003-11-W1S02-02)**  
APPLICANTS: **Thomas Wisniewski, Marcin Sadowski, and Einar M. Sigurdsson**  
TITLE: **PREVENTION AND TREATMENT OF ALZHEIMER AMYLOID  
DEPOSITION**


Certificate is attached to the **Drawings (7 sheets)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EV415645119US**

DATE OF DEPOSIT: **March 26, 2004**

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Shawn A. Lockett  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper  
or fee)

## **EXPRESS MAIL CERTIFICATE**

**DOCKET NO.:** 57953/1211 (2003-11-W1S02-02)  
**APPLICANTS:** Thomas Wisniewski, Marcin Sadowski, and Einar M. Sigurdsson  
**TITLE:** PREVENTION AND TREATMENT OF ALZHEIMER AMYLOID DEPOSITION


Certificate is attached to the **Patent Application including specification, claims and abstract (44 pages), UNSIGNED Combined Declaration and Power of Attorney (2 pages)** of the above-named application.

**"EXPRESS MAIL" NUMBER:** EV415645119US

**DATE OF DEPOSIT:** March 26, 2004

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Shawn A. Lockett  
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mailing paper or fee)

  
(Signature of person mailing paper  
or fee)